

05-31-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 126881209900

First Inventor or Application Identifier Charles A. Nicolle

Title THERAPEUTIC COMPOUNDS FOR OVARIAN CANCER

Express Mail Label No EL 849 465 094 US

JN929 U.S. PTO
05/30/01
05/30/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
Applicant claims small entity status.
See 37 CFR 1.27.
2. Specification [Total Pages 57] 1
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. Drawing(s) (35 U.S.C.113) [Total Sheets 1] 1
[Total Pages 2] 1
5. Oath or Declaration [Total Pages 2] 1
- a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)
- i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper (5ppgs)
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b)Statement Power of Attorney
(When there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. Other Check for 980.00

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label _____ or Correspondence address below
(Insert Customer Number or Bar Code Label here)

Name	Antoinette F. Konski			022836	
	Baker & McKenzie			PATENT TRADEMARK OFFICE	
Address	660 Hansen Way				
City	Palo Alto	State	California	Zip Code	94304
Country	USA	Telephone	(650) 856-2400		Fax (650) 856-9299

Name (Print/Type)	Antoinette F. Konski	Registration No. (Attorney/Agent)	34,202
Signature			Date May 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 980)

Complete if Known	
Application Number	Unassigned
Filing Date	May 30, 2001
First Named Inventor	Charles A. Nicolette
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No	126881209900

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	50-0974
Deposit Account Name	Baker & McKenzie

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit card Money Order Other

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201	Utility filing fee	710
106 320	206	Design filing fee	
107 490	207	Plant filing fee	
108 710	208	Reissue filing fee	
114 150	214	Provisional filing fee	
SUBTOTAL (1)			(\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
13	-20** = 0	X	= 0
Independent Claims	3 -3** = 0	X	= 0
Multiple Dependent	1	X	= 270

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity	Fee Description	Fee Paid
103 18	203	9	Claims in excess of 20	
102 80	202	40	Independent claims in excess of 3	
104 270	204	135	Multiple dependent claim, if not paid	
109 80	209	40	** Reissue independent claims over original patent	
110 18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$ 270)

FEES CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Fee (\$)	Surcharge Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105 130	205	65	Surcharge - late filing fee or oath		
127 50	227	25	Surcharge - late provisional filing fee or cover sheet		
139 130	139	130	Non-English specification		
147 2,520	147	2,520	For filing a request for reexamination		
112 920*	112	920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115 110	215	55	Extension for reply within first month		
116 390	216	195	Extension for reply within second month		
117 890	217	445	Extension for reply within third month		
118 1,390	218	665	Extension for reply within fourth month		
128 1,890	228	945	Extension for reply within fifth month		
119 310	219	155	Notice of Appeal		
120 310	220	155	Filing a brief in support of an appeal		
121 270	221	135	Request for oral hearing		
138 1,510	138	1,510	Petition to institute a public use proceeding		
140 110	240	55	Petition to revive – unavoidable		
141 1,240	241	620	Petition to revive – unintentional		
142 1,240	242	620	Utility issue fee (or reissue)		
143 440	243	220	Design issue fee		
144 600	244	300	Plant issue fee		
122 130	122	130	Petitions to the Commissioner		
123 130	123	130	Petitions related to provisional applications		
126 180	126	180	Submission of Information Disclosure Stmt		
581 40	581	40	Recording each patent assignment per property (times number of properties)		
146 710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179 710	279	355	Request for Continued Examination (RCE)		
169 900	169	900	Request for expedited examination of a design application		
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Antonette F. Konski	Registration No. Attorney/Agent	34,202	Telephone (650) 866-2400
Signature			Date May 30, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.